SUMMARY STEPS FOR AUDITOR REGISTRATION/CERTIFICATION PROCESS

A. The following steps and requirements shall apply to a person (the candidate) interested to be registered as an auditor by KEBS-CB:

1. Minimum academic background requirement is a diploma to be eligible to audit at any industry sector except at university for ISO 9001 QMS audits that require a degree.

2. The candidate shall have been trained in implementation of the standard in the area(s) of interest (ISO 9001, ISO 14001, ISO 22000, ISO 22301, ISO 27001, ISO 45001, ISO 30401, etc). Evidence for having attended Implementation Course in the area of interest will be required.

3. The candidate shall have been trained at least as an internal auditor for at least 16 hours in any of the management systems standards (Lead auditor training is not a requirement for registration but it is an added advantage). Evidence for training as an auditor will be required.

4. The candidate shall apply through the IMS system complete and sign the Exam Candidate Non-disclosure Agreement and the Code of Conduct for Audit Personnel in the system before being attached to KEBS audit teams as a trainee auditor.

5. The candidate shall take initiative to contact Certification Officers (COs) listed below and request them for a chance to be attached to KEBS audit team as a trainee auditor in at least 3 complete audits(one complete audit includes from opening to closing meeting of the audit) and also to indicate date(s) when he/she is available to conduct audit(s).

6. It shall be responsibility of the candidate to ensure receipt of formal appointment for auditing from the concerned CO through the IMS system and the candidate shall prepare an audit checklist accordingly.

7. The trainee auditor (candidate) shall be under control of the experienced auditor in the team and in all attachments as a trainee, the candidate will ask at least 2 audit questions per audit area/department or as directed by the experienced auditor. Audit questions shall be based on the normative standard (E.g. ISO 9001, ISO 14001, ISO 22000, etc). Audit log, and findings shall be uploaded into the IMS system after every audit.

8. Application for auditor Certification: The applicant will be eligible to apply in the IMS system only after completing attachments as a trainee auditor. Upon notification by the IMS system, the Personnel Certification shall plan for evaluation.

9. The candidate shall fill out the application form and make payments as guided in the IMS system, the payable fee is kshs 11,600 for the first standard and Kshs 5,800 for every other standard, VAT inclusive. KEBS reserves the right to revise the fees.

10. Candidate Competence evaluation: Personnel certification together with the candidate shall arrange for the evaluation of the candidate and the candidate will be appointed on the IMS system for audit purposes, and the name of the evaluator shall be communicated through the IMS system. It is the responsibility of the candidate to request the concerned appointing CO for client documentation in order to prepare for the audit and the evaluation.

11. Evaluator shall capture the evaluation results in the IMS system.

12. The candidate shall be further evaluated on other applicable requirements.
13. Once Personnel Certification receives all evaluation results, the same shall be presented to the Personnel Certification decision committee and the decision shall be communicated by email to the candidate plus any necessary instructions.

14. Personnel Certification and/or certification committee may identify the need for the candidate to be evaluated further using other methods before registration can be considered.

15. If the decision is to register the candidate, the person shall sign a contract with KEBS and a certificate issued to him/her. The contract and certificate are renewable after every 5 years.

16. The registered auditor can declare interest to Personnel Certification to conduct audits on behalf of KEBS in which case the auditor will sign another 5-year contract renewable and once engaged by KEBS the auditor is compensated.

17. The person is expected to adhere to the Auditor Code of Conduct and Auditors guide at all times during attachments and after registration as an auditor, especially with respect to the confidentiality of client information including all audit findings, audit reports, client documents, etc.

18. For those who are not KEBS employees: At all times the person shall introduce him/herself as attachee at KEBS-Certification Body (before registration as auditor) or as auditor from KEBS-Certification Body (in case of a registered auditor) whenever the person is working with KEBS formally appointed audit teams.

   Note: Sometimes confirmed audit dates can change later due to unavoidable circumstances. Therefore, the person should closely monitor the dates with CO.

KEBS-Certification Body - Certification Officers contacts are:

- MANDI: mandij@kebs.org for ISO 14001 EMS/ISO 45001 OHS
- BEATRICE: atienobm@kebs.org for ISO 22000 FSMS/HACCP,
- ROSELYNE: makaur@kebs.org for ISO 9001 QMS,
- MWANGI: mwangij@kebs.org for ISO 22000 FSMS/HACCP,
- SUSAN: ondiekis@kebs.org for ISO 22000 FSMS/HACCP,
- ALEX: katikua@kebs.org for ISO 9001 QMS,
- SALINA: jeptoos@kebs.org for ISO 9001 QMS,
- FRANCISCA: atienof@kebs.org for ISO 9001 QMS and ISO 22301
- DAVIS: kimutaid@kebs.org for ISO 27001 ISMS/KMS
- OLIVER: ochiento@kebs.org for ISO 9001 QMS
- NETTY: kimaiyon@kebs.org for ISO 14001 EMS/ISO 45001 OHS
- ISAAC: mureithi@kebs.org for ISO 9001 QMS
- TYSON: onyangot@kebs.org for ISO 9001 QMS
- NAOMI: kiturn@kebs.org for ISO 22000/HACCP
- KOFIA: kofiam@kebs.org for ISO 9001 QMS

B. Applicant’s rights and duties of a certified person

1. The person shall give KEBS competence evaluators the access to information regarding qualifications and competence which are the subject of certification with prior notification during the normal working hours of the person involved.

2. The person shall avail him/herself to be evaluated by KEBS on mutually agreed date(s).

3. The person shall abide by the KEBS code of conduct for audit personnel CER-CODE-01. The person shall;
   a) Act solely in the best interest of the KEBS and its clients, in the performance of their duties;
b) Conduct themselves professionally, with truth, accuracy, fairness and responsibility;

c) Not misrepresent their qualifications, competence or experience, nor undertake assignments beyond their capabilities;

d) Treat in a confidential and private manner all information gained in relation to any of the KEBS identified activities of certification of specific organizations or individuals; unless authorized in writing to disclose such information by the KEBS and its clients (when applicable), and shall not;

   • discuss such information with anyone except those who have a need to know the information for legitimate purposes of the certification processes;
   • disclose any details of audit findings, neither during nor after the audit process;

e) Treat in a confidential and private manner all information gained in relation to any of KEBS activities wherein such information may include, inter alia:

   • any device, graphics, written material or other information in tangible or intangible form, clearly identified as “confidential”, relating to the activities of KEBS;
   • any device, graphics, written material or other information in tangible or intangible form, identifiable as private by the nature of its content and/or context;

f) Not communicate false or misleading information which may compromise the integrity of the certification processes or decisions therein.

g) Be able to act professionally under adverse pressure from KEBS and its clients

h) Strive to increase the competence and prestige of the auditing profession

i) Assist others in developing management, professional and auditing skills

j) Make fair presentations of audit findings based on verifiable audit evidence and not intentionally communicate false or misleading information that may compromise the integrity of any audit or the auditor certification process; and

k) Not to act in any way that would prejudice the reputation of the personnel certification body or the auditor certification process and to co-operate fully with an inquiry in the event of any alleged breach of this contract

l) Be neat, well groomed, and decently dressed

m) Observe ‘no drunkenness rule’ during auditing period